Nottingham Building Society

Stocks and shares to cash ISA transfer authority form

We'll need to take some details to process this transfer.

Recipient account number: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

1. Information about you

Title: _____ First name(s): _____ Surname: _____

Date of birth: (dd/mm/yyyy) ☐☐ ☐☐ ☐☐☐☐ National Insurance number: ☐☐☐☐☐☐☐☐☐

Permanent home address: _____

_____

_____ Postcode: _____

Contact phone number (inc. area code) _____

2. Information about the Stocks and Shares ISA you want to transfer

Name of existing ISA provider: _____

Address of existing ISA provider: _____

_____

_____ Postcode: _____

ISA account number/reference: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

The important bit

This transfer is subject to the terms and conditions of:
- The Cash ISA receiving the transferred funds
- The Stocks and Shares ISA you're sending the funds from

Some of these conditions might apply:

- Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you're not sure what conditions apply please check with your existing Stocks and Shares ISA provider before completing this form.

1. Do you want to transfer all or part of your ISA? *Tick here* ☐ All ☐ Part

If you ticked 'All' all of your investments in that Stocks and Shares ISA will be sold. The proceeds and any uninvested cash in the ISA will be sent to your new provider.

2. Have you subscribed to this Stocks and Shares ISA in the current tax year? *Tick here* ☐ Yes ☐ No

If you answered 'All' to question 1, please go directly to the **Transfer authority** section below.
If you answered 'Part' to question 1, please answer questions 3-5 below.

Partial Transfers

3. If you answered yes to question 2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? *Tick here* ☐ Yes ☐ No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

4. If you only want to transfer your subscriptions from the current tax year, *tick here* ☐

OR

If you only want to transfer all of your subscriptions from previous tax years, *tick here* ☐

OR

If you only want to transfer <u>some</u> of your subscriptions from previous tax years (as well as investments relating to current year subs if you answered yes to question 3) *tick here* ☐ and provide sale instructions by answering Q5.

Stocks, Shares and / or Funds that you wish to sell

- Check that you can sell the investments before you submit your form.
- Your existing ISA provider might contact you to double check you sale.

Use this table to let your current ISA provider know which investments you would like to release.

If there's more than you can fit in this table, don't worry - you're able to supply us a separate list.

Description of investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or value of stocks, shares, units or specify "All" to sell the entire holding

5. Uninvested cash to be transferred

Let us know how much of your uninvested cash in your Stocks and Shares ISA you want to transfer. £

Or, tick 'All' ☐ All

3. Transfer authority

I authorise my existing ISA provider as specified overleaf to sell investments and transfer the ISA in cash (account number above) to Nottingham Building Society.

I authorise my existing ISA provider to provide Nottingham Building Society with any information, written or non-written, concerning the Stocks and Shares ISA and to accept any instruction from them relating to the Stocks and Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks and Shares ISA, or the existing Stocks and Shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer; ☐

OR

2. depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied. ☐

Signed: _____ Date: _____

4. Transfer acceptance (your new ISA provider fills this part in)

We, Nottingham Building Society, are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

- The transfer proceeds are made up of cash deposits only.
- We must receive all the transfer proceeds no later than (dd/mm/yyyy) ☐☐ ☐☐ ☐☐☐☐

Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than £ ☐☐☐.☐☐

Residual income

- We do not accept residual income payments

OR

- We must receive all residual income payments no later than ☐☐ ☐☐ ☐☐☐☐ (dd/mm/yyyy).
  Any residual income payments after this date must be paid away to the customer.
- We do not accept residual payments if they are below £ ☐☐☐.☐☐
  These funds should be paid away to the customer.

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.

Date: ☐☐ ☐☐ ☐☐☐☐

Name of new ISA provider: _____

Nottingham Building Society, Nottingham House, 3 Fulforth Street, Nottingham NG1 3DL, is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority; Financial Services Registration No. 200785.